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Energy Capture vs. Correlator Resources in Ultra-Wide Bandwidth Indoor Wireless Communications Channels

by

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Signal and Image Processing Institute UNIVERSITY OF SOUTHERN CALIFORNIA

Department of Electrical Engineering-Systems 3740 McClintock Avenue, Room 400 Los Angeles, CA 90089-2564 U.S.A.

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Communication Sciences Institute
Department of Electrical Engineering-Systems
University of Southern California, Los Angeles, CA 90089-2565 USA

Abstract—The results of an ultra-wide bandwidth (UWB) signal propagation experiment performed in a typical modern office building are presented. The bandwidth of the signal used in this experiment is in excess of one GHz, which results in a multipath resolution of less than a nanosecond. The maximum likelihood (ML) detector, based on a specular multipath channel model, is derived to detect multipath components of measured waveforms. The results show that typical received waveforms consist of a finite number of dominant multipath components. The number of dominant multipath components is equivalent to the number of single-path signal correlators required in a UWB Rake receiver. The number of single-path signal correlators required to construct a filter matched to the received waveform, so that the constructed waveform adequately captures the average received signal energy, is a useful parameter for UWB Rake receiver design. The quantity energy capture is defined mathematically for use as a performance measure of the ML detector. Energy capture as a function of the number of single-path signal correlators is evaluated for each of the experimentally measured received waveforms, and typical results are presented.

I. INTRODUCTION

THE EXISTENCE of multiple propagation paths (multipath) with different time delays gives rise to a complex time varying transmission channel and places fundamental limitations on the performance of wireless communications systems.

Many propagation measurements have been made over the years on both indoor and outdoor channels with much "narrower bandwidths" [1]–[18]. A comprehensive reference on the indoor propagation channels (a total of 281 references) can be found in the tutorial survey paper by Hashemi [9]. Some of the work by Rappaport [1]–[5] and a few other papers [6]–[8] are listed here as selected references. Although the research described in this paper does not cover outdoor propagation channels, the classic works

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on outdoor propagation channels by Turin [10], [11], by Cox [14]–[17], and by Nielson [18] are noted here for their excellent measurement procedures and data reduction techniques. However, these measurements are inadequate and characterization of ultra-wide bandwidth (UWB) signal propagation channels has not been available previously in the literature. Careful characterization of the UWB propagation channel is required to move beyond current limits and to determine methods for achieving fade resistant, mobile, high quality, robust communications.

II. REVIEW OF THE UWB PROPAGATION EXPERIMENT

An UWB signal propagation experiment was performed in a typical modern office building to characterize the UWB signal propagation channel [19]. The bandwidth of the signal used in this experiment was in excess of one GHz which results in a differential path delay resolution of less than a nanosecond. Extensive UWB signal propagation measurements were made in 14 different rooms and hallways on one floor of the modern office building using a periodic pulse generator that transmits pulses every 500 nanoseconds. In each office, multipath measurements over a 300 nanosecond wide window were made at 49 different locations. They are arranged spatially in a level 7x7 square grid with 6 inch spacing covering 3 feet by 3 feet. The same absolute delay reference for all recorded multipath profiles was achieved, and time delay measurements of the signals arriving at the receiving antenna via different propagation paths were made.

Figure 1 shows the transmitted pulses measured by the receiving antenna, located 1 m away from the transmitting antenna at the same height. Measurements were made while the vertically polarized receiving antenna was rotated about its axis in 45° steps. Measurements shown in Fig. 1 are labeled 0°, 45°, and 90°, where 0° refers to the case in which the transmitting and receiving antennas are facing each other. Figure 1 illustrates that the radiation pattern of the antenna used in the experiment is circularly symmetric around the vertical axis. The first 10 nanoseconds of the recorded waveforms in Fig. 1 represent a clean pulse arriving via the direct line of sight (LOS) path and not

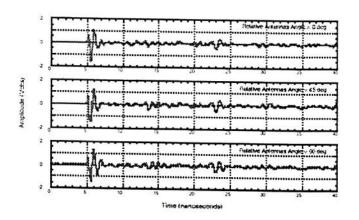
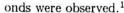


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Figure 2 shows the typical multipath profiles measured along a horizontal line of the grid at three different positions one foot apart. This represents typical UWB signal transmissions for a "high SNR" environment. Notice that the direct path response (leading edge of the responses) suggests that the location of the receiver for the lower trace is closer to the transmitter than that of the upper trace. Similar results are given in Fig. 3 representing typical UWB signal transmissions for a "low SNR" environment. Note that the first arriving path is not always the strongest path. Multipath delay spreads on the order of a hundred nanosec-



III. MULTIPATH CHANNEL

A. Multipath Channel Model

In general, multipath channels (especially indoor channels) consist of many path components. However, only a finite number of dominant multipath components exist in a typical received waveform. It is desirable to find the $L_{\rm p}$ most dominant paths, where the number $L_{\rm p}$ is proportional to the receiver's complexity in the communication system design. In the existing literature, this is typically accomplished by discretizing the delay axis into bins of $\Delta \tau$ seconds. If the integrated power within the ith delay bin interval c_i^2 of the received signal r(t) exceeds the chosen minimum detectable signal threshold, a multipath component with magnitude $|c_i|$ is said to exist at delay $\tau_i = i\Delta \tau$. The L_p paths corresponding to the largest values of $|c_i|$ may then be chosen as the dominant paths [3], [4]. The number of multipath components also influences the choice of channel modeling techniques. If the number of multipath components is small (≈ 5), then they can be attributed to the obvious reflecting objects in the channel and a ray tracing model, based on the building geometry, is a plausible choice. On the other hand, ray tracing techniques become site specific and their computational complexity becomes a burden when the number of multipath components is large [4].

From the view point of Rake receiver design, it is desired to model the received signal r(t) by a linear combinations of a basic waveform w(t) and its delay versions. Specifically,

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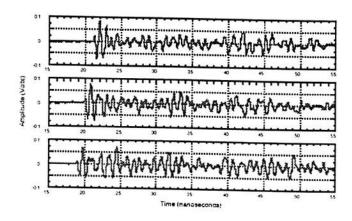


Fig. 2. Averaged multipath profiles in a 40 nanosecond window measured in an office, along a horizontal line of the grid at three different positions one foot apart. The transmitter is approximately 6 meters from the receiver, representing typical UWB signal transmission for the "high SNR" environment.

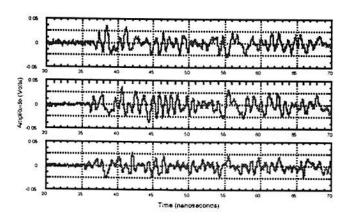


Fig. 3. Averaged multipath profiles in a 40 nanosecond window measured in an office, along a horizontal line of the grid at three different positions one foot apart. The transmitter is approximately 10 meters from the receiver, representing typical UWB signal transmission for the "low SNR" environment.

the received signal model is given by

$$r(t) \approx \sum_{i=1}^{L_p} c_i w(t - \tau_i) + n(t)$$
. (1)

The observation noise n(t) is modeled as additive white Gaussian noise (AWGN). It is important to stress that the parameters c_i and τ_i are modeled as continuous random variables representing the amplitude and the delay (in time) of the i^{th} multipath component. The waveform w(t) can be viewed as a template waveform built into the receiver for use in Rake correlator structures [20], [21]. A typical shape of the waveform w(t) is shown in Fig. 4, and it is assumed that w(t) is reasonably similar to the isolated path signals in the whole ensemble of measured received waveforms. The simple specular model given by (1) is a natural choice for a resolvable UWB multipath channel.

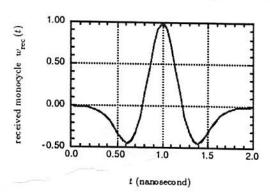


Fig. 4. A typical idealized waveform as a function of time in nanoseconds. The model used in this plot is $w_{\rm rec}(t+1.0)=[1-4\pi(t/\tau_{\rm m})^2]\exp[-2\pi(t/\tau_{\rm m})^2]$ with $\tau_{\rm m}=0.78125$, which is assumed to be reasonably well-matched to the isolated paths in the whole ensemble of measured received waveforms.

B. The Optimal Multipath Detector

Given a specific received signal r(t) over an observation interval [0,T), and for a given number of dominant multipath components $L_{\rm p}$, the goal is to find the "best" values of $\{\hat{c}_i\}_{i=1}^{L_{\rm p}}$ and $\{\hat{\tau}_i\}_{i=1}^{L_{\rm p}}$, such that the synthesized waveform $\sum_{i=1}^{L_{\rm p}} \hat{c}_i w(t-\hat{\tau}_i)$ is well matched to the received waveform r(t). In this paper, the optimal maximum likelihood (ML) detector is derived. Since n(t) is modeled as AWGN, the ML detector is equivalent to a minimum mean squared error detector, i.e., the maximum likelihood estimates of \hat{c}_i and $\hat{\tau}_i$ are the values of c_i and τ_i such that $\int_0^T |r(t) - \sum_{i=1}^{L_{\rm p}} c_i w(t-\tau_i)|^2 dt$ is minimized. For a given $L_{\rm p}$, let c and τ be the amplitude and the delay vectors, with dimension $L_{\rm p} \times 1$, defined by

$$\mathbf{c} \triangleq \begin{bmatrix} c_1 \\ c_2 \\ \vdots \\ c_{L_p} \end{bmatrix}, \quad \text{and} \quad \tau \triangleq \begin{bmatrix} \tau_1 \\ \tau_2 \\ \vdots \\ \tau_{L_p} \end{bmatrix}. \quad (2)$$

The maximum likelihood estimates of the delay vector $\hat{\tau}$ and amplitude vector $\hat{\mathbf{c}}$ were derived in [22], and it was shown to result in decoupled solutions as

$$\hat{\tau} = \underset{-}{\operatorname{argmax}} \{ \chi^{\dagger}(\tau) R^{-1} \chi(\tau) \}$$
 (3)

$$\hat{\mathbf{c}} = \mathbf{R}^{-1} \chi(\hat{\boldsymbol{\tau}}). \tag{4}$$

The vector $\chi(au)$ is given by

$$\chi(\tau) = \int_0^T r(t) \begin{bmatrix} w(t - \tau_1) \\ w(t - \tau_2) \\ \vdots \\ w(t - \tau_{L_p}) \end{bmatrix} dt, \qquad (5)$$

where the components of $\chi(\tau)$ can be interpreted as the correlation of the received signal r(t) with w(t) at different hypothesized delays. The correlation matrix **R** is given by

$$\mathbf{R} = \begin{bmatrix} R(\tau_1 - \tau_1) & R(\tau_1 - \tau_2) & \dots & R(\tau_1 - \tau_{L_p}) \\ R(\tau_2 - \tau_1) & R(\tau_2 - \tau_2) & \dots & R(\tau_2 - \tau_{L_p}) \\ \dots & \dots & \dots & \dots \\ R(\tau_{L_p} - \tau_1) & R(\tau_{L_p} - \tau_2) & \dots & R(\tau_{L_p} - \tau_{L_p}) \end{bmatrix}$$

where

$$R(\tau_i - \tau_j) = \int_0^T w(t - \tau_i) w(t - \tau_j) dt.$$
 (6)

For wide bandwidth transmission channel modeling, it is often assumed that the multipath channel is separable, i.e.,

$$|\tau_i - \tau_j| > \text{width of } w(t) \quad \text{for all} \quad i \neq j.$$
 (7)

This approximation is even more valid in the case of UWB transmission channel. Under this assumption $R(\tau_i - \tau_j) = 0$ for all $i \neq j$, and

$$\mathbf{R} = \begin{bmatrix} R(0) & 0 & \dots & 0 \\ 0 & R(0) & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & R(0) \end{bmatrix} . \tag{8}$$

Simple matrix manipulation shows that

$$\chi^{\dagger}(\tau)\mathbf{R}^{-1}\chi(\tau) = \sum_{i=1}^{L_{\mathbf{p}}} \frac{1}{R(0)} |\chi(\tau_i)|^2,$$
 (9)

where

$$\chi(\tau_i) = \int_0^T r(t)w(t - \tau_i)dt.$$
 (10)

Therefore, the maximum likelihood estimates of the delay vector $\hat{\tau}$ and amplitude vector $\hat{\mathbf{c}}$ for a separable multipath channel become

$$\hat{\tau} = \underset{\tau}{\operatorname{argmax}} \left\{ \sum_{i=1}^{L_{p}} \left| \frac{\chi(\tau_{i})}{\sqrt{R(0)}} \right| \right\}$$
 (11)

$$\hat{\mathbf{c}} = \frac{\chi(\hat{\tau})}{R(0)}. \tag{12}$$

IV. PERFORMANCE MEASURE AND RESULTS

The performance measure of the multipath detector will be made in terms of the quantity energy capture. Energy capture as a function of $L_{\rm p}$ is defined mathematically as

$$EC(L_{p}) = \left\{ 1 - \underbrace{\frac{||r(t) - \sum_{i=1}^{L_{p}} \hat{c}_{i}w(t - \hat{\tau}_{i})||^{2}}{||r(t)||^{2}}}_{\triangleq \text{ normalized MSE}} \right\} \times 100\%. \tag{13}$$

The number $L_{\rm p}$ can be interpreted as the number of single-path signal correlators required in a UWB Rake receiver to construct a filter matched to the received waveform, so that the constructed waveform "adequately" captures the average received signal energy.

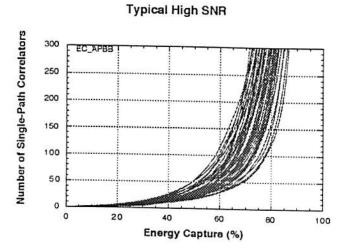
Energy capture as a function of the number of correlators is computed for each received waveform measurement. The number of required single-path signal correlators in a UWB Rake receiver versus percentage energy capture is plotted in Fig. 5 for 49 received waveforms in an office representing a typical "high SNR" environment. Similar results for a typical "low SNR" environment are given in Fig. 6. Note that the amount of capture energy increase rapidly as the number of single-path signal correlators increases from 0 to 50. However, this improvement becomes gradual as the number of single-path signal correlators increases from 50 to 100. Beyond this point, only negligible improvement can be made as the number of single-path signal correlators increases. In practice, UWB Rake receivers are designed to operate in regions where the increase in energy capture as a function of the number of single-path signal correlators is rapid. Figures 5 and 6 also suggest that the number of dominant specular multipath components is expected to

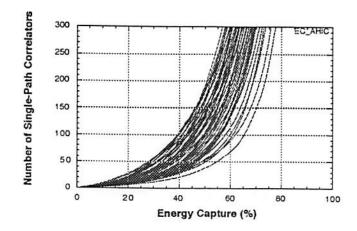
be less than 50 for UWB signal transmissions in a typical modern office building. On the other hand, the number of dominant specular multipath components is much larger than 5.

V. CONCLUSIONS AND CAVEATS

Multipath components of experimentally measured received waveforms are detected using the ML detector which is derived based on a specular multipath channel model. Energy capture as a function of the number of single-path signal correlators used in a UWB Rake receiver is evaluated for each of the experimentally measured received waveforms. The amount of energy capture increases rapidly as the the number of single-path signal correlators increases from 0 to 50. However, this improvement becomes gradual and only negligible improvement can be made as the number of single-path signal correlators increases beyond 100. The results show that the number of dominant specular multipath components is less than 50 for UWB signal transmissions in a typical modern office building. On the other hand, the number of dominant specular multipath components is much larger than 5, which suggests that ray tracing techniques may not be feasible for modeling UWB indoor wireless communications channels in typical modern office buildings.

The results presented in this paper are based on the simple specular model given by (1). However, it seems plausible that later multipath components may incur more distortion than earlier arriving multipath components. This suggests the use of a family of basic waveforms $\{w^l(t)\}_{l=1}^N$ and determination of the "best" choice of $\{\{\hat{c}_i^l\}_{i=1}^{L_p}, \{\hat{\tau}_i^l\}_{i=1}^{L_p}\}_{l=1}^N$ such that the synthesized waveform $\sum_{l=1}^N \sum_{i=1}^{L_p} \hat{c}_i^l w^l(t-\hat{\tau}_i^l)$ is well matched to the received waveform r(t).





Typical Low SNR

Fig. 5. The number of single-path signal correlators in a UWB Rake receiver as a function of percentage energy capture for each of the 49 received waveforms in an office representing typical "high SNR" environment.

Fig. 6. The number of single-path signal correlators in a UWB Rake receiver as a function of percentage energy capture for each of the 49 received waveforms in an office representing typical "low SNR" environment.

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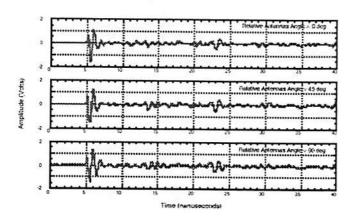
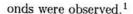


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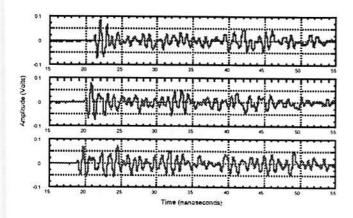


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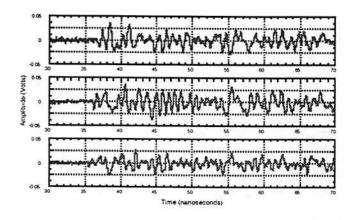


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the received signal model is given by

$$r(t) \approx \sum_{i=1}^{L_p} c_i w(t - \tau_i) + n(t). \tag{1}$$

The observation noise n(t) is modeled as additive white Gaussian noise (AWGN). It is important to stress that the parameters c_i and τ_i are modeled as continuous random variables representing the amplitude and the delay (in time) of the i^{th} multipath component. The waveform w(t) can be viewed as a template waveform built into the receiver for use in Rake correlator structures [20], [21]. A typical shape of the waveform w(t) is shown in Fig. 4, and it is assumed that w(t) is reasonably similar to the isolated path signals in the whole ensemble of measured received waveforms. The simple specular model given by (1) is a natural choice for a resolvable UWB multipath channel.

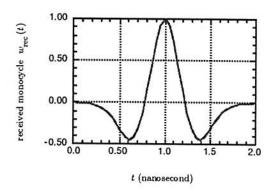


Fig. 4. A typical idealized waveform as a function of time in nanoseconds. The model used in this plot is $w_{\rm rec}(t+1.0)=[1-4\pi(t/\tau_{\rm m})^2]\exp[-2\pi(t/\tau_{\rm m})^2]$ with $\tau_{\rm m}=0.78125$, which is assumed to be reasonably well-matched to the isolated paths in the whole ensemble of measured received waveforms.

B. The Optimal Multipath Detector

Given a specific received signal r(t) over an observation interval [0,T), and for a given number of dominant multipath components $L_{\rm p}$, the goal is to find the "best" values of $\{\hat{c}_i\}_{i=1}^{L_{\rm p}}$ and $\{\hat{\tau}_i\}_{i=1}^{L_{\rm p}}$, such that the synthesized waveform $\sum_{i=1}^{L_{\rm p}} \hat{c}_i w(t-\hat{\tau}_i)$ is well matched to the received waveform r(t). In this paper, the optimal maximum likelihood (ML) detector is derived. Since n(t) is modeled as AWGN, the ML detector is equivalent to a minimum mean squared error detector, i.e., the maximum likelihood estimates of \hat{c}_i and $\hat{\tau}_i$ are the values of c_i and τ_i such that $\int_0^T |r(t) - \sum_{i=1}^{L_{\rm p}} c_i w(t-\tau_i)|^2 dt$ is minimized. For a given $L_{\rm p}$, let c and τ be the amplitude and the delay vectors, with dimension $L_{\rm p} \times 1$, defined by

$$\mathbf{c} \triangleq \begin{bmatrix} c_1 \\ c_2 \\ \vdots \\ c_{L_p} \end{bmatrix}, \quad \text{and} \quad \boldsymbol{\tau} \triangleq \begin{bmatrix} \tau_1 \\ \tau_2 \\ \vdots \\ \tau_{L_p} \end{bmatrix}. \quad (2)$$

The maximum likelihood estimates of the delay vector $\hat{\tau}$ and amplitude vector $\hat{\mathbf{c}}$ were derived in [22], and it was shown to result in decoupled solutions as

$$\hat{\tau} = \underset{-}{\operatorname{argmax}} \{ \chi^{\dagger}(\tau) \mathbf{R}^{-1} \chi(\tau) \}$$
 (3)

$$\hat{\mathbf{c}} = \mathbf{R}^{-1} \boldsymbol{\chi}(\hat{\boldsymbol{\tau}}) \,. \tag{4}$$

The vector $\chi(\tau)$ is given by

$$\chi(\tau) = \int_0^T r(t) \begin{bmatrix} w(t - \tau_1) \\ w(t - \tau_2) \\ \vdots \\ w(t - \tau_{L_p}) \end{bmatrix} dt, \qquad (5)$$

where the components of $\chi(\tau)$ can be interpreted as the correlation of the received signal r(t) with w(t) at different hypothesized delays. The correlation matrix **R** is given by

$$\mathbf{R} = \begin{bmatrix} R(\tau_1 - \tau_1) & R(\tau_1 - \tau_2) & \dots & R(\tau_1 - \tau_{L_p}) \\ R(\tau_2 - \tau_1) & R(\tau_2 - \tau_2) & \dots & R(\tau_2 - \tau_{L_p}) \\ \dots & \dots & \dots & \dots \\ R(\tau_{L_p} - \tau_1) & R(\tau_{L_p} - \tau_2) & \dots & R(\tau_{L_p} - \tau_{L_p}) \end{bmatrix}$$

where

$$R(\tau_i - \tau_j) = \int_0^T w(t - \tau_i) w(t - \tau_j) dt.$$
 (6)

For wide bandwidth transmission channel modeling, it is often assumed that the multipath channel is separable, i.e.,

$$|\tau_i - \tau_j| > \text{width of } w(t) \quad \text{for all} \quad i \neq j.$$
 (7)

This approximation is even more valid in the case of UWB transmission channel. Under this assumption $R(\tau_i - \tau_j) = 0$ for all $i \neq j$, and

$$\mathbf{R} = \begin{bmatrix} R(0) & 0 & \dots & 0 \\ 0 & R(0) & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & R(0) \end{bmatrix} . \tag{8}$$

Simple matrix manipulation shows that

$$\chi^{\dagger}(\tau) \mathbf{R}^{-1} \chi(\tau) = \sum_{i=1}^{L_{\mathbf{p}}} \frac{1}{R(0)} |\chi(\tau_i)|^2,$$
(9)

where

$$\chi(\tau_i) = \int_0^T r(t)w(t - \tau_i)dt.$$
 (10)

Therefore, the maximum likelihood estimates of the delay vector $\hat{\tau}$ and amplitude vector $\hat{\mathbf{c}}$ for a separable multipath channel become

$$\hat{\tau} = \underset{\tau}{\operatorname{argmax}} \left\{ \sum_{i=1}^{L_{p}} \left| \frac{\chi(\tau_{i})}{\sqrt{R(0)}} \right| \right\}$$
 (11)

$$\hat{\mathbf{c}} = \frac{\chi(\hat{\tau})}{R(0)}. \tag{12}$$

IV. PERFORMANCE MEASURE AND RESULTS

The performance measure of the multipath detector will be made in terms of the quantity energy capture. Energy capture as a function of $L_{\rm p}$ is defined mathematically as

$$EC(L_{\rm p}) = \left\{ 1 - \underbrace{\frac{||r(t) - \sum_{i=1}^{L_{\rm p}} \hat{c}_i w(t - \hat{\tau}_i)||^2}{||r(t)||^2}}_{\triangleq \text{ normalized MSE}} \right\} \times 100\%.$$
(13)

The number $L_{\rm p}$ can be interpreted as the number of single-path signal correlators required in a UWB Rake receiver to construct a filter matched to the received waveform, so that the constructed waveform "adequately" captures the average received signal energy.

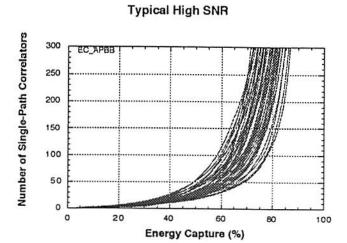
Energy capture as a function of the number of correlators is computed for each received waveform measurement. The number of required single-path signal correlators in a UWB Rake receiver versus percentage energy capture is plotted in Fig. 5 for 49 received waveforms in an office representing a typical "high SNR" environment. Similar results for a typical "low SNR" environment are given in Fig. 6. Note that the amount of capture energy increase rapidly as the number of single-path signal correlators increases from 0 to 50. However, this improvement becomes gradual as the number of single-path signal correlators increases from 50 to 100. Beyond this point, only negligible improvement can be made as the number of single-path signal correlators increases. In practice, UWB Rake receivers are designed to operate in regions where the increase in energy capture as a function of the number of single-path signal correlators is rapid. Figures 5 and 6 also suggest that the number of dominant specular multipath components is expected to

be less than 50 for UWB signal transmissions in a typical modern office building. On the other hand, the number of dominant specular multipath components is much larger than 5.

V. CONCLUSIONS AND CAVEATS

Multipath components of experimentally measured received waveforms are detected using the ML detector which is derived based on a specular multipath channel model. Energy capture as a function of the number of single-path signal correlators used in a UWB Rake receiver is evaluated for each of the experimentally measured received waveforms. The amount of energy capture increases rapidly as the the number of single-path signal correlators increases from 0 to 50. However, this improvement becomes gradual and only negligible improvement can be made as the number of single-path signal correlators increases beyond 100. The results show that the number of dominant specular multipath components is less than 50 for UWB signal transmissions in a typical modern office building. On the other hand, the number of dominant specular multipath components is much larger than 5, which suggests that ray tracing techniques may not be feasible for modeling UWB indoor wireless communications channels in typical modern office buildings.

The results presented in this paper are based on the simple specular model given by (1). However, it seems plausible that later multipath components may incur more distortion than earlier arriving multipath components. This suggests the use of a family of basic waveforms $\{w^l(t)\}_{l=1}^N$ and determination of the "best" choice of $\{\{\hat{c}_i^l\}_{i=1}^{L_p}, \{\hat{\tau}_i^l\}_{i=1}^{L_p}\}_{l=1}^N$ such that the synthesized waveform $\sum_{l=1}^N \sum_{i=1}^{L_p} \hat{c}_i^l w^l (t - \hat{\tau}_i^l)$ is well matched to the received waveform r(t).



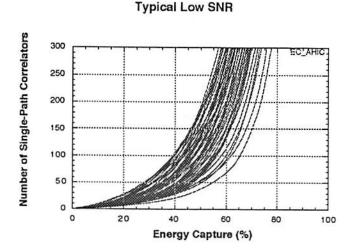


Fig. 5. The number of single-path signal correlators in a UWB Rake receiver as a function of percentage energy capture for each of the 49 received waveforms in an office representing typical "high SNR" environment.

Fig. 6. The number of single-path signal correlators in a UWB Rake receiver as a function of percentage energy capture for each of the 49 received waveforms in an office representing typical "low SNR" environment.

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